IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KIYOFUMI SAKAGUCHI Application No.: 10/654,008) : Examiner: Not Yet Assigned) : Group Art Unit: Not Yet Assigne)		
Filed: September 4, 2003	;		
For: SUBSTRATE AND MANUFACTURING METHOD THEREFOR	:) :) September 29, 2003		

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

<u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

The concise explanation of relevance for the non-English documents is found in the specification where cited, in an attached abstract, in a corresponding U.S. patent, if provided and in an English translation thereof, if provided.

The Examiner's attention is also directed to the following U.S. Applications:

APPLICATION NO.	<u>FILING DATE</u>	GROUP ART UNIT
10/653,949	September 4, 2003	Unassigned
10/653,950	September 4, 2003	Unassigned
10/654,022	September 4, 2003	Unassigned

A copy of each cited Application is enclosed.

FORM PTO 1449 (modified)			ATTY DOCKET NO. APPLICATION NO.		ATION NO.	IPE.		
U.S. DEPARTMENT OF COMMERCE PATENTEND TRADEMARK OFFICE		-	00862.023220.		10/65/	10/654,00 IPE		
LIS7	<i>– (</i>)	FERENCES TED BY A		L	KIYOFUMI	SAKAGU	1	<u> </u>
(OCT " 1 2003)			FILING DATE September 4, 2003		GROUP			
/3	ZG.	1 ZUUS 🖫		U	J.S. PATENT DOCUMENTS			TRADEMAN
*EXAMINER INITIAL	*EXAMINER INITIAL DATE			NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		4,963,505	10/16/90		Fujii et al.	437	62	
		5,138,422	08/11/92		Fujii et al.	357	49	
		5,371,037	12/06/94		Yonehara	437	86	_
		5,374,564	12/20/94		Bruel	437	24	
		5,854,123	12/29/98		Sato et al.	437	507	
		5,856,229	01/05/99		Sakaguchi et al.	438	406	
		5,894,152	04/13/99		Jaso et al.	257	347	
		5,966,620	10/12/99		Sakaguchi et al.	438	455	
		5,994,207	11/30/99		Henley et al.	438	515	
		6,100,165	08/08/00		Sakaguchi et al.	438	455	
		6,100,166	08/08/00		Sakaguchi et al.	438	455	
		6,294,478	09/25/01		Sakaguchi et al.	438	753	
		6,350,702	02/26/02		Sakaguchi et al.	438	753	
		6,382,292	05/07/02		Ohmi et al.	156	584	
	T	Y	· · · · · · · · · · · · · · · · · · ·	FOR	REIGN PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT
	JP	1-144665	06/06/89		Japan			Abstract & USP 5138422
	JP	11-17001	01/22/99		Japan			Abstract & USP 5894152
	JP	2001-320033	11/16/01		Japan			Abstract & Translation
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
EVALUINED	'				DATE CONCIDENCE			

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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

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